

For Internal Office Use:	
Client Code:	Branch Code:
Dealer Code:	

CLIENT'S PARTICULARS UPDATE/ ADDITIONAL REQUEST(S) FORM

SECTION A – COMPULSORY FIELDS* FOR CLIENT'S PARTICULARS

* Client Name (as per NRIC/ Authority Card/ Passport/ Business Registration) (*Capital Letter*):

* Trading Account(s) All of trading account(s) that maintained with UOBKH; * NRIC/ Old IC/ Authority Card/ Passport/ Reg. No.: _____
 No.: (Please tick) Please specify the trading account number: _____

SECTION B – OPTIONAL FIELDS

UPDATING OF CLIENT'S PARTICULARS (Please TICK that require changes)

New Name (as per NRIC/ Authority Card/ Passport / Business Registration) New Passport No. Registered Address (as per NRIC/ Authority Card/ Business Registration)
 Correspondence Address: (If different from registered address) _____ Postcode: _____

Note: Certified True copy of Supporting Documents shall be submitted by Clients for updating of name/ passport no./ address.

Nationality: Malaysia Others: _____ Tax Resident: Malaysia Others: _____; TIN No: _____
 Marital: Single Married, Spouse Name: _____ Divorced Widowed
 Domestic Ringgit Borrowing: Yes No (*Refer <http://www.bnm.gov.my/fep> for DRM definition under BNMFEA rules*)
 Updating of Email Address: _____ Application for Utrade Facility
 Updating of Epayment Bank Name: _____ Bank Account No: _____ Auto payment to client bank¹
¹Not applicable to Cash Upfront Account of which subject to Auto To Trust

UPDATING OF CLIENT'S FINANCIAL INFORMATION (Please TICK that require changes)

Employment: Employed Self-employed Retiree² Housewife³ Student³ Other: _____
²Please also provide networth and/ or previous employment details. ³Please also provide income provider's identification number, employment details, annual income and/ or networth, relationship with income provider and a separate copy of consent letter and PDPA disclosure by income provider.

Name of Income Provider: _____ Identification number: _____
 Name of Business/Employer: _____ Present position: _____
 Relationship with Income Provider: Family Members Others, please specify: _____
 Contact Details: Home No.: _____ Office No.: _____
 Handphone: _____ Fax No.: _____
 Company Address: _____ Postcode: _____

Annual Income: Below RM25,000 RM25,001-RM50,000 RM 50,001- RM 100,000 RM100,001 -RM200,000
 RM200,001-RM300,000 RM300,001-RM400,000 RM 400,001-RM500,000 Above RM500,000

Net worth: RM _____ Shareholders' Funds: RM _____
 Nature of Business: _____ Latest audited financial profits/ loss: RM _____
 Source of Wealth: Employment Business Investment Inheritance Others (Please specify): _____
 Investment Objective: Investment Speculation Mixed

REQUESTING OF ADDITIONAL ACCOUNT(S)/ PRODUCT(S) (Please TICK accordingly)

Normal Trading Collateralised Acc Cash Upfront Custodian Intraday Short Selling (IDSS)⁴
 Shariah Trading Leap Market⁵ L&I ETF^{5 or 6} Discretionary Financing Extended Contra Acc (ECA)
 Foreign Trading Settlement currency in traded currency *Note: If Client do not select Traded Currency Settlement, the default settlement currency is in MYR* Others: _____

⁴Client needs to sign Securities Borrowing And Lending Agreement. ⁵By choosing this product, I/ we declare that I/ we am/ are the Sophisticated Investors and qualified as the Eligible Investors as prescribed in T&C. (Proof of net assets/ income is compulsory.) If I/ we fall under other category as provided in schedule 6 & 7 of CMSA 2007, I/ we shall separately inform the UOB Kay Hian Securities (M) Sdn. Bhd. ⁶By choosing this product, I/ we declare that I/ we have fulfilled one of qualifying criteria as prescribed in T&C.

APPOINTING AND EMPOWERING OF THE DEALER'S REPRESENTATIVE OR ANY OTHER PERSON ("REPRESENTATIVE") TO MANAGE ADMINISTRATIVE FUNCTIONS IN RELATION TO THE TRADING ACCOUNT(S) (Please TICK accordingly)

My dealer's representative
 Others; please provide a copy of NRIC or Passport of the representative.

SECTION C - DECLARATION AND ACKNOWLEDGEMENT

I/We declare that all particulars and information given in this Form are true and correct without holding back any material facts or information from UOBKH. UOBKH is entitled to fully rely on such information for all purposes unless otherwise notified in writing from me/us. I/We undertake to furnish UOBKH with such additional particulars as UOBKH may require at any time and also undertake to inform UOBKH of any changes with regard to the particulars stated herein from time to time.

I/We hereby confirm that I/we am/ are fully aware of the updated terms and conditions that govern my Trading Account which can be retrieved from the homepage of UOBKH (URL: www.utrade.com.my), of which I/we confirm that I/we have read each and every DECLARATION, RISK DISCLOSURE STATEMENTS, TERMS AND CONDITIONS as well as the COVENANTS and UNDERTAKING contained therein, and understand its contents and implications which have been duly explained to me/ us in detail by the Company and I/ we agree to be bound by them entirely irrespective of whether my/ our request for updating is approved. I/We am/are further aware that UOBKH has sole and absolute discretion to vary, add or remove any of the terms and conditions therein at any time hereafter and it shall be my/our sole responsibility to keep myself/ourselves aware of all such changes without the need to be notified and I/we agree to be bound by all such variations, additions or removal of all such terms and conditions accordingly.

Please TICK below accordingly:
 I/We confirm and agree that any changes of the above data shall be updated accordingly into UOBKHS internal back office system and Bursa Malaysia Depository (BMD) system provided such similar data fields are available in BMD system for such changes to be updated.
 I do not agree to update above data into BMD system; I will submit the relevant CDS form to update separately.

Signed by: _____

 **Signature of Client
 Name: _____
 Date: _____
 **If the Client is corporation, please sign as per Board Resolution and affix the company rubber stamp or common seal.

SECTION D - FOR OFFICE USE ONLY

Signature	Verified By:	Approved By:	Updated By:	Checked By:
Date				